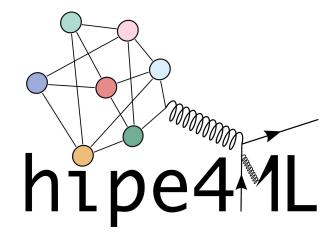
O2AT: Machine Learning

Francesco Mazzaschi









Machine learning: introduction



- Machine learning (ML) models are able to perform a task without being explicitly programmed to do so
 - models are built from an input sample data and try to extract patterns from it
 - no need to specify a sequence of instructions to solve the task
- ML is nowadays ubiquitous in real-world applications
 - speech recognition and translation
 - autonomous driving
 - text-to-image generation
 - HEP!
 - 0 ...

<u>ChatGPT</u>: chatbot based on deep learning

write a python function for fitting a TH1D with a Gaussian + a pol2. Stay in 20 lines

Here's a Python function for fitting a TH1D histogram with a Gaussian plus a pol2 function in 20 lines:

```
import ROOT

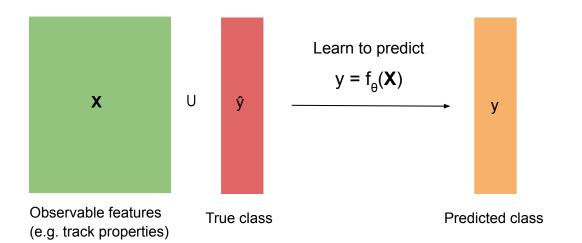
def fit_histogram_with_gaussian_pol2(histogram, title="Invariant Mass Fit"):
    fitFunc = ROOT.TF1("fitFunc", "gaus(0) + [3]*x*x + [4]*x + [5]", 0, 5)
    histogram.Fit("fitFunc")
    canvas = ROOT.TCanvas("canvas", title, 800, 600)
    histogram.Draw()
    fitFunc.Draw("same")
    return fitFunc

# Example usage:
# inputFile = ROOT.TFile("your_input_file.root")
# histogram = inputFile.Get("your_histogram_name")
# fitted_function = fit_histogram_with_gaussian_pol2(histogram)
# inputFile.Close()
# ROOT.gApplication.Run()
```

Supervised Learning



- The desired output of the task to be performed is known and a set of examples is available
- Typical tasks
 - o classification: distinguish between a pair of or several classes (e.g. signal vs bkg.)
 - regression: predict a continuous value (e.g. particle energy)
- Model "trained" to infer some target starting from the input data
 - o ideally the model output matches the target for "unseen" data

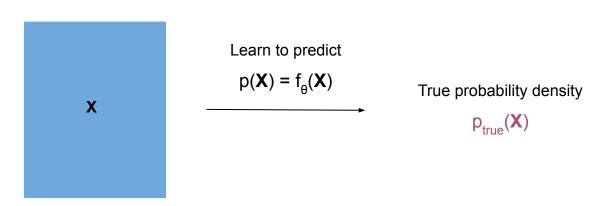


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Unsupervised Learning



- No examples with known labels are available
 - model "learns" the probability distribution from the input data
- Typical tasks
 - clustering: find structures in the data
 - o anomaly detection: identify outliers w.r.t. the input data
 - o sampling: generate data from the underlying probability distribution



Observable features (e.g. track properties)

ML in ALICE: few examples



Signal-vs-background classification

 Boosted Decision Trees (BDTs) and Neural Networks (NN) replacing "traditional" linear selections

Jet p_{T} reconstruction

- correction for the background from the underlying event
- regression task using shallow NN

Heavy flavor jet tagging

 BDTs and Deep Neural Networks (DNN) to tag heavy-flavour jet topologies

HF-hadron trigger

BDTs to trigger on displaced decay-vertex topologies

Particle identification (PID)

- exploit complex relationship between track properties and PID
 - NNs to combine info from different detectors
 - □ PID with ITS2 using BDT regression

TPC response calibration

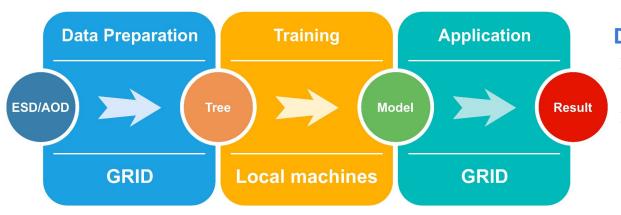
- ML to compute corrections of spatial charge distortions
- NN for energy-loss (dE/dx) calibration

Clustering

TPC clustering with DNNs

ML workflow





Data preparation

- Information written from AO2D to ROOT TTree
- Only data needed for training downloaded locally
 - a few GBs independently from the collision system

Training and optimisation

- Small fraction of real data and all MC simulations used to train/optimise the model
 - o a few minutes/hours on laptops or desktops

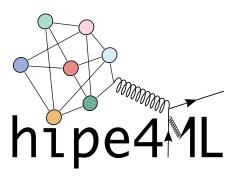
Inference on full data sample

- From about 1 to 3 days on the GRID
 - o usual time for a train run from the user point of view
 - the ML inference can be added to standard analysis tasks

Hands-on session



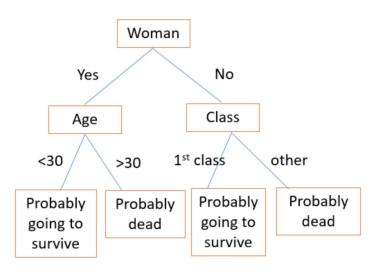
- Focused on Boosted Decision Trees (BDTs) training and inference for physics analyses
- Divided in two parts
 - Training and testing a BDT model (S. Politanò)
 - Classification of the $D_s^+ \to K^+K^-\pi^+$ signal
 - Python software strack, hipe4ml package developed in ALICE for ML based physics analyses
 - 2. Apply the BDT to data with a O2Physics task (F. Catalano)
 - Inference using the O2Physics interface for ONNXRuntime



Boosted Decision Trees



- Hands-on session focused on BDTs
- Simple (apparently) supervised learning model well suited for classification and regression problems
- Building block → Decision Tree (DT)
 - A sequence of simple tests on the variables of the candidate
 - Combining all the tests one gets an output as a function of the variables of the single candidate
- Training a DT:
 - each test is built to maximise the separation between the signal and the background classes



DT applied to the Titanic dataset: was the passenger survived?

Boosted Decision Trees



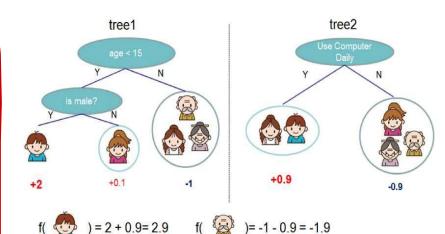
DT: poor performances on independent samples → overfitting

Boosting

- Many simple (shallow) trees built sequentially
- Each tree is built to compensate the errors of the previous one

Ensemble model

- predictions are made combining the output of all the trees
- Very resilient to overfitting

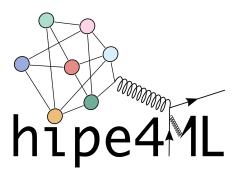


Do they like computer games? Score based approach to evaluate it

Hands-on session



- Focused on Boosted Decision Trees (BDTs) training and inference for physics analyses
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ML inference



- ML applications in ALICE usually based on
 - o python software stack (<u>scikit-learn</u>, <u>XGBoost</u>, <u>TensorFlow</u>, <u>PyTorch</u>, ...)



- Application of models on the GRID (in your analyses!)
 - how to use a model trained in python in the ALICE C++ software?
- Run 3: <u>ONNXRuntime</u>
 - integrated in O2/O2Physics, available on GRID/EPN/FLP
 - supports almost any ML model (BDT, NN, ...) and library (XGBoost, PyTorch, TensorFlow, ...)



MLResponse in O2Physics



- MLResponse class implemented in O2Physics
 - o <u>link</u>
 - Interface for smooth ML inference

```
// TypeOutputScore is the type of the output score from o2::ml::OnnxModel (float by default)
template <typename TypeOutputScore = float>

class MlResponse
{
  public:
    /// Default constructor
    MlResponse() = default;
    /// Default destructor
    virtual ~MlResponse() = default;
```

protected:

```
std::vector<o2::ml::OnnxModel> mModels;
                                                        // OnnxModel objects, one for each bin
uint8 t mNModels = 1;
                                                        // number of bins
uint8_t mNClasses = 3;
                                                        // number of model classes
std::vector<double> mBinsLimits = {};
                                                       // bin limits of the variable (e.g. pT) used to select which model to use
std::vector<std::string> mPaths = {""};
                                                       // paths to the models, one for each bin
std::vector<int> mCutDir = {};
                                                       // direction of the cuts on the model scores (no cut is also supported)
o2::framework::LabeledArray<double> mCuts = {};
                                                       // array of cut values to apply on the model scores
std::map<std::string, uint8 t> mAvailableInputFeatures; // map of available input features
std::vector<uint8_t> mCachedIndices;
                                                        // vector of indices correspondance between configurable and available input features
```

MLResponse in O2Physics



- MLResponse class implemented in O2Physics
 - o <u>link</u>
 - Interface for smooth ML inference
 - Wrappers based on it for the different PWGs
- HfMIResponse.h
- HfMlResponseDplusToPiKPi.h

```
/// ML selections
/// \param input is the input features
/// \param pt is the candidate transverse momentum
/// \return boolean telling if model predictions pass the cuts
template <typename T1, typename T2>
bool isSelectedMl(T1& input, const T2& pt)
 auto nModel = findBin(&mBinsLimits, pt);
 auto output = getModelOutput(input, nModel);
 uint8_t iClass{0};
 for (const auto& outputValue : output) {
   uint8_t dir = mCutDir.at(iClass);
   if (dir != o2::cuts_ml::CutDirection::CutNot) {
     if (dir == o2::cuts_ml::CutDirection::CutGreater && outputValue > mCuts.get(nModel, iClass)) {
        return false;
      if (dir == o2::cuts_ml::CutDirection::CutSmaller && outputValue < mCuts.get(nModel, iClass)) {
        return false;
   ++iClass;
 return true;
```

Useful resources - ML

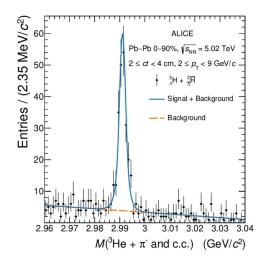


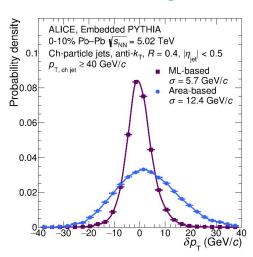
- ML theory from scratch
 - https://work.caltech.edu/telecourse
 https://work.caltech.edu/telecourse
 Learning from Data, Yaser Abu-Mostafa
- Hands-on ML book
 - Hands-On Machine Learning with Scikit-Learn, Keras, and TensorFlow
- XGBoost BDTs
 - https://xgboost.readthedocs.io/en/stable/tutorials/model.html
- Common ML python libraries
 - https://scikit-learn.org/stable/
 - https://www.tensorflow.org/
 - https://keras.io/
 - https://pytorch.org/
 - <u>https://onnx.ai/</u>

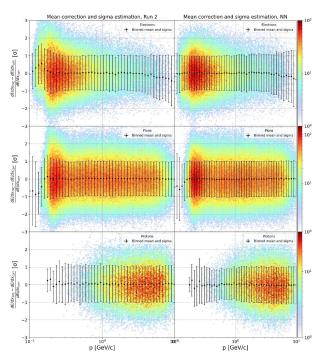
Useful resources - ALICE



- ALICE-ML-Group
 - o <u>alice-machine-learning@cern.ch</u>
 - Meetings ~ every two weeks (Tuesday or Wedenesday at 16:00 CET)
 - https://indico.cern.ch/event/1339879/
 - Contact:
 - Me: <u>francesco.mazzaschi@cern.ch</u>
 - Fabio Catalano: <u>fabio.catalano@cern.ch</u>







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Conclusion



- ML is a powerful tool employed at different levels in ALICE
 - In Run 2 we improved many physics analyses by using ML
 - In Run 3 we are using/developing ML for reconstruction, clustering, PID
- What hands-on session does not cover
 - Neural Networks, ML models optimization, proper choice of the model thresholds, how to deal with systematics
 - For this, please subscribe and follow ALICE ML group meetings!

Reminder

- Tutorial on ML in O2 on Friday, covering BDT training and inference
- Can be run locally or in dedicated SWAN notebooks!
 - https://github.com/AliceO2Group/analysis-tutorials/tree/master/o2at-3